Company Tracking Number: 08-GL-2007573

TOI: 17.0 Other Liability - Claims Made/Occurrence Sub-TOI: 17.0001 Commercial General Liability

Product Name: 08-GL-2007573

Project Name/Number: ACE Medical Risk/08-GL-2007573

Filing at a Glance

Company: ACE American Insurance Company

Product Name: 08-GL-2007573 SERFF Tr Num: ACEH-125571574 State: Arkansas

TOI: 17.0 Other Liability - Claims SERFF Status: Closed State Tr Num: EFT \$50

Made/Occurrence

Sub-TOI: 17.0001 Commercial General Liability Co Tr Num: 08-GL-2007573 State Status: Fees verified and

received

Filing Type: Form Co Status: Reviewer(s): Betty Montesi, Edith

Roberts, Brittany Yielding

Disposition Date: 03/27/2008

Authors: Connie McFarlane, Barb

Niles, CPCU, ARP, Bob Wolfrom

Date Submitted: 03/24/2008 Disposition Status: Approved

Effective Date Requested (New): On Approval

Effective Date Requested (Renewal): On Approval

Effective Date (Renewal):

State Filing Description:

General Information

Project Name: ACE Medical Risk Status of Filing in Domicile:
Project Number: 08-GL-2007573 Domicile Status Comments:

Reference Organization: Reference Number:
Reference Title: Advisory Org. Circular:

Filing Status Changed: 03/27/2008

State Status Changed: 03/27/2008 Deemer Date:

Corresponding Filing Tracking Number:

Filing Description:

The purpose of this filing is to introduce two independent forms for use with our Life Sciences Medical Risks policies.

Policy coverage is provided by the approved ISO CG 00 01 General Liability Coverage Form.

Both of the following endorsements will be mandatory on all policies. There will be no rating change with the attachment of these forms.

SERFF Tracking Number: ACEH-125571574 State: Arkansas
Filing Company: ACE American Insurance Company State Tracking Number: EFT \$50

Company Tracking Number: 08-GL-2007573

TOI: 17.0 Other Liability - Claims Made/Occurrence Sub-TOI: 17.0001 Commercial General Liability

Product Name: 08-GL-2007573

Project Name/Number: ACE Medical Risk/08-GL-2007573

Company and Contact

Filing Contact Information

Robert Wolfrom, CPCU, Regulatory Specialist robert.wolfrom@ace-ina.com 436 Walnut Street (215) 640-5123 [Phone]
Philadelphia, PA 19106 (215) 640-4986[FAX]

Filing Company Information

ACE American Insurance Company CoCode: 22667 State of Domicile: Pennsylvania

PO Box 1000 Group Code: 626 Company Type:

436 Walnut Street

Philadelphia, PA 19106 Group Name: State ID Number:

(215) 640-5123 ext. [Phone] FEIN Number: 95-2371728

Filing Fees

Fee Required? Yes
Fee Amount: \$50.00
Retaliatory? No

Fee Explanation: \$50.00 X 1 COMPANY

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

ACE American Insurance Company \$50.00 03/24/2008 18881161

Company Tracking Number: 08-GL-2007573

TOI: 17.0 Other Liability - Claims Made/Occurrence Sub-TOI: 17.0001 Commercial General Liability

Product Name: 08-GL-2007573

Project Name/Number: ACE Medical Risk/08-GL-2007573

Correspondence Summary

Dispositions

| Status | Created By | Created On | Date Submitted |
|----------|---------------|------------|----------------|
| Approved | Edith Roberts | 03/27/2008 | 03/27/2008 |

Company Tracking Number: 08-GL-2007573

TOI: 17.0 Other Liability - Claims Made/Occurrence Sub-TOI: 17.0001 Commercial General Liability

Product Name: 08-GL-2007573

Project Name/Number: ACE Medical Risk/08-GL-2007573

Disposition

Disposition Date: 03/27/2008

Effective Date (New):

Effective Date (Renewal):

Status: Approved

Comment:

Rate data does NOT apply to filing.

Company Tracking Number: 08-GL-2007573

TOI: 17.0 Other Liability - Claims Made/Occurrence Sub-TOI: 17.0001 Commercial General Liability

Product Name: 08-GL-2007573

Project Name/Number: ACE Medical Risk/08-GL-2007573

| Item Type | Item Name | Item Status | Public Access |
|---------------------|---------------------------------------|-------------|---------------|
| Supporting Document | Uniform Transmittal Document-Property | y &Approved | Yes |
| • | Casualty | | |
| Supporting Document | FILE MEMO | Approved | Yes |
| Form | CLINICAL TRIALS EXCLUSION | Approved | Yes |
| Form | PRODUCTS COMPLETED | Approved | Yes |
| | OPERATIONS HAZARD EXCLUSION | | |

Company Tracking Number: 08-GL-2007573

TOI: 17.0 Other Liability - Claims Made/Occurrence Sub-TOI: 17.0001 Commercial General Liability

Product Name: 08-GL-2007573

Project Name/Number: ACE Medical Risk/08-GL-2007573

Form Schedule

| Review | Form Name | Form # | Edition | Form Type Action | Action Specific | Readability | Attachment |
|----------|------------|----------|---------|------------------|------------------------|-------------|--------------|
| Status | | | Date | | Data | | |
| Approved | CLINICAL | LD-23315 | 09/07 | Endorseme New | | | LD23315 |
| | TRIALS | | | nt/Amendm | | | Clinical |
| | EXCLUSION | | | ent/Conditi | | | Trials |
| | | | | ons | | | Exclusion.pd |
| | | | | | | | f |
| Approved | PRODUCTS | LD-23319 | 09/07 | Endorseme New | | | LD23319 |
| | COMPLETED | | | nt/Amendm | | | Products |
| | OPERATIONS | | | ent/Conditi | | | Completed |
| | HAZARD | | | ons | | | Ops.pdf |
| | EXCLUSION | | | | | | |

CLINICAL TRIALS EXCLUSION

| Named Insured | | | Endorsement Number |
|--------------------|--------------------|---------------------|-------------------------------|
| Policy Symbol | Policy Number | Policy Period to | Effective Date of Endorsement |
| Issued By (Name of | Insurance Company) | | |

Insert the policy number. The remainder of the information is to be completed only when this endorsement is issued subsequent to the preparation of the policy.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

It is agreed that the Exclusions sections of Coverage A, Bodily Injury and Property Damage Liability, Coverage B, Personal and Advertising Liability, and Coverage C, Medical Payments are amended by adding the following exclusion:

Clinical Trials

"Bodily injury" or "property damage" or "personal and advertising injury" arising out of manufacture, handling, distribution or disposal of "pharmaceuticals", "biologics" or "medical devices" in connection with a "human clinical trial".

- "Human clinical trial" means any organized study which provides clinical data for the assessment of the effects of a "pharmaceutical", "biologic", or "medical device" on humans.
- "Pharmaceutical" means any substance administered orally, topically, or via injection, to treat, diagnose, cure, mitigate or prevent sickness or disease.
- "Biologic" means any product (such as a globulin, serum, vaccine, antibody, antigen or analogous product) used in the prevention or treatment of sickness or disease. Biologic products also include blood and blood components used for transfusion or for the manufacture of pharmaceuticals derived from blood and blood components, such as clotting factors.
- "Medical device" means any product, other than a "pharmaceutical" or "biologic", that has an application in therapeutic or diagnostic medicine.

All other terms and conditions of this policy remain unchanged.

| Authorized Agent | |
|----------------------|--|

PRODUCTS COMPLETED OPERATIONS HAZARD EXCLUSION

| Named Insured | | | Endorsement Number | | | |
|---------------------------------------|---------------|------------------|-------------------------------|--|--|--|
| Policy Symbol | Policy Number | Policy Period to | Effective Date of Endorsement | | | |
| Issued By (Name of Insurance Company) | | | | | | |

Insert the policy number. The remainder of the information is to be completed only when this endorsement is issued subsequent to the preparation of the policy.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE FORM

It is agreed that:

- 1. Section I, Insuring Agreements, subsection 2, Exclusions of Coverage A, Bodily Injury and Property Damage Liability, is amended by adding the following exclusion:
 - Products Completed Operations Hazard
 "Bodily injury" or "property damage" included within the "products-completed operations hazard".
- 2. Section V, Definitions, is amended as follows:
 - a. By adding the following to subsection (a) of the definition 21, "your product" and to subsection (a) of definition 22, "your work":
 - "human clinical trials" of products you have under research or development.
 - b. By adding the following definitions:
 - "Human clinical trial" means any organized study which provides clinical data for the assessment of the effects of a "pharmaceutical", "biologic", or "medical device" on humans.
 - "Pharmaceutical" means any substance administered orally, topically, or via injection, to treat, diagnose, cure, mitigate or prevent sickness or disease.
 - "Biologic" means any product (such as a globulin, serum, vaccine, antibody, antigen or analogous product) used in the prevention or treatment of sickness or disease. Biologic products also include blood and blood components used for transfusion or for the manufacture of pharmaceuticals derived from blood and blood components, such as clotting factors.
 - "Medical device" means any product, other than a "pharmaceutical" or "biologic" that has an application in therapeutic or diagnostic medicine.

| - | Authorized Agent | |
|---|------------------|--|

Company Tracking Number: 08-GL-2007573

TOI: 17.0 Other Liability - Claims Made/Occurrence Sub-TOI: 17.0001 Commercial General Liability

Product Name: 08-GL-2007573

Project Name/Number: ACE Medical Risk/08-GL-2007573

Rate Information

Rate data does NOT apply to filing.

Company Tracking Number: 08-GL-2007573

TOI: 17.0 Other Liability - Claims Made/Occurrence Sub-TOI: 17.0001 Commercial General Liability

Product Name: 08-GL-2007573

Project Name/Number: ACE Medical Risk/08-GL-2007573

Supporting Document Schedules

Review Status:

Satisfied -Name: Uniform Transmittal Document- Approved 03/27/2008

Property & Casualty

Comments:

Attachments:

AR NAIC Transmittal _F_-.pdf Forms Filing Schedule - GL.pdf

Review Status:

Satisfied -Name: FILE MEMO Approved 03/27/2008

Comments: Attachment:

Filing Memo - Form.pdf

Property & Casualty Transmittal Document

| 1. | Reserved for Insurance | 2. Ins | surance Department | Use only | | |
|-----------------------|---|--|--|---|-----------------------------|--|
| | Dept. Use Only | | a. Date the filing is received: | | | |
| | b. | | Analyst: | | | |
| | c. | | Disposition: | | | |
| | | d. Da | Date of disposition of the filing: | | | |
| | | e. Eft | Effective date of filing: | | | |
| | | | New Business | | | |
| | | | Renewal Business | | | |
| | | f. Sta | te Filing #: | | | |
| | | | RFF Filing #: | | | |
| | | | bject Codes | | | |
| 3. | Group Name | | | | Group NAIC # | |
| | ACE USA | | | | 626 | |
| 4. | Company Name(s) | | Domicile | NAIC# | FEIN# | |
| 4. | ACE American Insurance Com | nany | PA | 22667 | 95-2371728 | |
| | ACE American insurance Com | pany | 1 A | 22007 | 75 2311120 | |
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| 5 | Company Tracking Number | (| 08-GL-2007573 (F) | | | |
| 5. Cor | Company Tracking Number | • | 08-GL-2007573 (F) | nberl | | |
| Cor | Company Tracking Number stact Info of Filer(s) or Corpora Name and address | • |) [include toll-free nun | nber] | e-mail | |
| | ntact Info of Filer(s) or Corpora Name and address | ate Officer(s |) [include toll-free nun Telephone #s | FAX# | e-mail Robert.wolfrom@ace | |
| Cor | ntact Info of Filer(s) or Corpora Name and address Robert Wolfrom | ate Officer(s Title | Telephone #s (215) 640- | _ | | |
| Cor | ntact Info of Filer(s) or Corpora Name and address | ate Officer(s Title | Telephone #s (215) 640- | FAX# | Robert.wolfrom@ace | |
| Cor | Name and address Robert Wolfrom 510 Walnut Street WB04G | ate Officer(s Title Sr Regulatory | Telephone #s (215) 640- | FAX# | Robert.wolfrom@ace | |
| Cor | Name and address Robert Wolfrom 510 Walnut Street | ate Officer(s Title Sr Regulatory | Telephone #s (215) 640- | FAX# | Robert.wolfrom@ace | |
| Cor | Name and address Robert Wolfrom 510 Walnut Street WB04G | ate Officer(s Title Sr Regulatory | Telephone #s (215) 640- | FAX# | Robert.wolfrom@ace | |
| Cor | Name and address Robert Wolfrom 510 Walnut Street WB04G | ate Officer(s Title Sr Regulatory | Telephone #s (215) 640- | FAX# | Robert.wolfrom@ace | |
| Cor | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 | ate Officer(s Title Sr Regulatory | Telephone #s (215) 640- 5123 | FAX # (215) 640-4986 | Robert.wolfrom@ace | |
| 7. | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer | ate Officer(s Title Sr Regulatory Specialist | include toll-free nun Telephone #s (215) 640- 5123 | FAX # (215) 640-4986 | Robert.wolfrom@ace | |
| 7. | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer | ate Officer(s Title Sr Regulatory Specialist | include toll-free num Telephone #s (215) 640- 5123 Robert Wolfrom | FAX # (215) 640-4986 | Robert.wolfrom@ace | |
| 7. 8. Fili | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General | Title Sr Regulatory Specialist | Colored toll-free number Telephone #s (215) 640-5123 | FAX # (215) 640-4986 | Robert.wolfrom@ace | |
| 7. 8. Fili | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General Type of Insurance (TOI) | zed filer | include toll-free num Telephone #s (215) 640- 5123 Robert Wolfrom | FAX # (215) 640-4986 | Robert.wolfrom@ace | |
| 7. 8. Fili 9. | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General Type of Insurance (TOI) Sub-Type of Insurance (Sub- | zed filer Instructions Title Sr Regulatory Specialist | Colored toll-free number Telephone #s (215) 640-5123 | FAX # (215) 640-4986 | Robert.wolfrom@ace | |
| 7. 8. Fili | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General Type of Insurance (TOI) | zed filer Instructions (TOI) | Colored toll-free number Telephone #s (215) 640-5123 | FAX # (215) 640-4986 | Robert.wolfrom@ace | |
| 7. 8. Fili 9. | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General Type of Insurance (TOI) Sub-Type of Insurance (Sub-Type State Specific Product code(s) | zed filer Instructions (TOI) olif rements] | Colored toll-free number Telephone #s (215) 640-5123 | FAX # (215) 640-4986 | Robert.wolfrom@ace | |
| 7. 8. Fili 9. 10. | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General Type of Insurance (TOI) Sub-Type of Insurance (Sub-State Specific Product code(s) applicable)[See State Specific Requi | zed filer Instructions (TOI) olif rements] | Robert Wolfrom for descriptions of the Other Liability | FAX # (215) 640-4986 | Robert.wolfrom@ace -ina.com | |
| 7. 8. Fili 9. 10. 11. | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General Type of Insurance (TOI) Sub-Type of Insurance (Sub-State Specific Product code(s) applicable)[See State Specific Requi | zed filer Instructions (TOI) (if rements) (ceting title) | Rate/Loss Cost | FAX # (215) 640-4986 | Robert.wolfrom@ace -ina.com | |
| 7. 8. Fili 9. 10. 11. | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General Type of Insurance (TOI) Sub-Type of Insurance (Sub-State Specific Product code(s) applicable)[See State Specific Requi | zed filer Instructions (TOI) (if rements) (ceting title) | Rate/Loss Cost | FAX # (215) 640-4986 Selfsour Rules Rates/Rulation Rates/Rules/Fo | Robert.wolfrom@ace -ina.com | |
| 7. 8. Fili 9. 10. 11. | Name and address Robert Wolfrom 510 Walnut Street WB04G Philadelphia, PA 19106 Signature of authorized filer Please print name of authori ng information (see General Type of Insurance (TOI) Sub-Type of Insurance (Sub-State Specific Product code(s) applicable)[See State Specific Requi | zed filer Instructions (TOI) (if rements) (ceting title) | Rate/Loss Cost Combination | FAX # (215) 640-4986 Rules Rates/Rules/For (give description) | Robert.wolfrom@ace -ina.com | |

| | Property & Casus | alty Transmittal Document |
|---------------|---|--|
| 15. | Reference Filing? | Yes No |
| 16. | Reference Organization (if applicable) | |
| 17. | Reference Organization # & Title | |
| 18. | Company's Date of Filing | 03/24/08 |
| 19. | Status of filing in domicile | ☐ Not Filed ☐ Pending ☐ Authorized ☐ Disapproved |
| | | |
| 20. | This filing transmittal is part of Company | Tracking # 08-GL-2007573 (F) |
| 21 | T212 - D | |
| 21. | Filing Description [This area can be used in li | ieu of a cover letter or filing memorandum and is free-form text] |
| Polic Both | y coverage is provided by the approved ISO C of the following endorsements will be mandato ese forms. | endent forms for use with our Life Sciences Medical Risks policies. CG 00 01 General Liability Coverage Form. Ory on all policies. There will be no rating change with the attachment |
| | | s required to be attached to the ISO General Liability Coverage Form |
| for a | ny Life Sciences Commercial Package Policy. | |
| | orsement LD-23319, Products Completed Operal Liability Coverage Form for any Life Science | perations Hazard Exclusion is required to be attached to the ISO ces Commercial Package Policy. |
| | | |
| 22. | Filing Fees (Filer must provide check # and f [If a state requires you to show how you calculated as the control of the cont | fee amount if applicable) ulated your filing fees, place that calculation below] |
| | neck #: EFT nount: \$50.00 | |

Refer to each state's checklist for additional state specific requirements or instructions on calculating fees.

***Refer to each state's checklist for additional state specific requirements (i.e. # of additional copies required, other state specific forms, etc.)

ACE Forms Schedule

| | Form Name /Description/Synopsis | Form # Include edition date | Replacement or Withdrawn? | If replacement, give form # it replaces | Broaden, Restrict, or Clarify | Mandatory / Optional/ Rate Impact |
|----|--|-----------------------------------|---|---|-------------------------------------|---|
| 01 | Clinical Trials Exclusion | LD-23315 (09/07) | □ New □ Replacement □ Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | |
| 02 | Products Completed Operations Hazard Exclusion | LD-23319 (09/07) | ⊠ New □ Replacement □ Withdrawn | | ☐ Broaden ☐ Restrict ☑ Clarify | |
| 03 | | | New Replacement Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | ☐ Mandatory ☐ Optional ☐ Rate Impact |
| 04 | | | New Replacement Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | ☐ Mandatory ☐ Optional ☐ Rate Impact |
| 05 | | | New Replacement Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | ☐ Mandatory ☐ Optional ☐ Rate Impact |
| 06 | | | New Replacement Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | ☐ Mandatory ☐ Optional ☐ Rate Impact |
| 07 | | | New Replacement Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | ☐ Mandatory ☐ Optional ☐ Rate Impact |
| 08 | | | New Replacement Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | ☐ Mandatory ☐ Optional ☐ Rate Impact |
| 09 | | | New Replacement Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | ☐ Mandatory ☐ Optional ☐ Rate Impact |
| 10 | | | ☐ New ☐ Replacement ☐ Withdrawn | | ☐ Broaden ☐ Restrict ☐ Clarify | ☐ Mandatory ☐ Optional ☐ Rate Impact |

ACE American Insurance Company Explanatory Memo Forms

The purpose of this filing is to introduce two independent forms for use with our Life Sciences Medical Risks policies. Policy coverage is provided by the approved ISO CG 00 01 General Liability Coverage Form.

Both of the following endorsements will be mandatory on all policies. There will be no rating change with the attachment of these forms.

Forms:

Endorsement LD-23315, Clinical Trials Exclusion is required to be attached to the ISO General Liability Coverage Form for any Life Sciences Commercial Package Policy.

Endorsement LD-23319, Products Completed Operations Hazard Exclusion is required to be attached to the ISO General Liability Coverage Form for any Life Sciences Commercial Package Policy.

Rule:

See companion filing 08-GL-2007573(R).